
SECTION 305 NGEC Executive Board

MINUTES

OCTOBER 27, 2015

11:30 EASTERN

CONFERENCE CALL

FACILITATOR	<i>Eric Curtit, Chair, S305 NGEC Executive Board</i>
ATTENDEES	Board Members: <i>Eric Curtit, Eric Curtit also as proxy for John Oimoen, Dale Engelhardt for Mario Bergeron, Michael Lestingi, Darrell Smith, Ray Hessinger, Tim Hoeffner, Jason Biggs for Ron Pate, Bruce Roberts, Michael Jenkins, Brian Beeler II</i> Support Staff: <i>Bryan Hong, Steve Hewitt, Shayne Gill, Beth Nachreiner, Sal DeAngelo, Jeremy Jewkes, Tammy Krause, Vincent Brotski, Momo Tamaoki, Nico Lindenau</i>
ABSENTEES	Board Members, <i>Mario Bergeron, Ron Pate, John Oimoen. Arun Rao, Tammy Nicholson</i> Support: <i>Dave "Emeritus" Warner, Jeff Gordon, Allan Paul, Larry Salci,</i>

DECISIONS MADE

1.

Welcome –Eric Curtit, Chair, S305 NGEC Executive Board:

Chairman Curtit, opened today's meeting and asked Steve Hewitt to call the roll.

2.

Roll Call –Steve Hewitt, Manager, S305 NGEC Support Services:

Steve Hewitt called the roll and confirmed the presence of a quorum.

3.

Review of Action Items – Steve Hewitt:

Steve Hewitt reviewed the open action items not covered under today's agenda and noted the status update of all items would be in the minutes for the record.

Action Items Status Update

Mid-West States – Section 6 progress report: As of the last Executive Board call (10-13-15) it was reported that the Mid-West State DOTs would meet on 10-21-15 with their legal representatives in attendance, to go over agreements for the distribution of equipment (cars and locomotives) throughout the Mid-West. At the meeting they were to begin to lay out the terms and conditions that will ultimately lead to a fleet maintainer and fleet manager.

IDOT reported on 10-13-15 that the RFP for a fleet manager continues to be reviewed by the Executive Office which has undergone some personnel changes.

Agenda item 5

514 Subcommittee update: On 10-13-15, two items were highlighted:

Accepting the CIP document: The Board received the DRAFT CIP on 10-13-15, and is expected to consider its acceptance on today's (10-27-15) conference call.

209/305 coordination: Policy issues will be handled through the SAIPRC.

Agenda items 10 and 11.

The Finance and Administrative subcommittee (FASC) continues to look at potential funding options and strategies for the future of the NGEC: (ongoing) The subcommittee continues its progress and expects to meet its deadline of having a recommendation package ready for NGEC Executive Board by the end of October, 2015.

The FASC will meet tomorrow (10-28-15) to review its draft report and recommendations for submittal to the Executive Board.

Dual Mode Locomotive (DC 3rd Rail) specification Approval: On 10-13-15, RCC, Tammy Krause reported that: *"The dual mode locomotive specification is currently undergoing a review by Larry Salci. After he finishes his review report, The Review Panel will convene a meeting to determine whether to recommend approval or rejection of the specification. The Review Panel will provide this recommendation to the Executive Board and they can then call for a vote. This is still on track for the final acceptance vote to occur before Thanksgiving."*

On today's call (10-27-15) the Board will a status update on the review of the Dual Mode (DC 3rd Rail) Locomotive Specification.

Agenda item 8 a.

Technical subcommittee approval of 305-912 Revision B: The subcommittee approved Revision B on 9-24-15. Tammy Krause reported on 10-13-15 that Revision B would be sent to Steve Hewitt for distribution to the Executive Board later that day, (it was) and it is on today's (10-27-15) agenda for Board consideration of its approval.

Agenda item 8 b. and agenda item 9

4.

Approval of the Minutes from the 10-13-15 conference call meeting – Eric Curtit:

On a motion made by Darrell Smith, Amtrak, and a second by Mike Jenkins, Oregon DOT, the minutes from the 10-13-15 Executive Board conference call were approved without exception.

5.

Progress Report: Mid-West States –Eric Curtit for Tim Hoeffner, Michigan DOT:

Tim Hoeffner, Michigan DOT, was unavailable to provide an update (although he did join the call later). In Tim's absence, Eric Curtit reported that the Mid-West States met last week and reviewed by-laws related to governance as they prepare for the new equipment.

6.

Status Update: Bi-Level Car Procurement – Bruce Roberts, Caltrans:

Bruce Roberts, Caltrans, provided a brief update on the activities related to the bi-level car procurement:

The key issue being focused on has to do with the failed compression test. Nippon Sharyo is meeting with FRA next week to provide input on the schedule and on efforts to move forward.

Re-testing the car shell itself is a key priority. A final design review will take place in Japan to look at a complete analysis of the failure and at counter measures will take place in early December, 2015, so that the design and approach can be presented and accepted.

7.

Status Update: Diesel-Electric Locomotive Procurement – Steve Hewitt for John Oimoen, IDOT:

In his absence, John Oimoen provided Steve Hewitt with the following update for inclusion in today's minutes:

"All continues to progress well, and is on schedule and on budget"

8.

Document Control Update – Tammy Krause:

Tammy Krause reported that, on Document Control, in general, there was not much new to report since the last call, as the Technical subcommittee call last week was canceled.

15 DCRs for the bi-level cars are in process.

Bi-level specification Revision C.2, previously approved, has been finalized and is available.

Bi-Level C.3 is going through the review process with changes being evaluated.

- a. Status of the Dual Mode (DC 3rd Rail) Locomotive Specification Review – Tammy Krause/Larry Salci

Steve Hewitt asked if the Dual Mode (DC 3rd Rail) Locomotive Specification review remains on target for

completion in time for Board adoption by mid-November (or before Thanksgiving). Tammy responded that she had not talked recently to consultant Larry Salci who is conducting the review, but she believes the schedule remains on target for a pre-Thanksgiving approval.

b. Overview/review of PRIIA Specification 305-912 Revision B – Tammy Krause:

Tammy deferred to Steve Hewitt to provide an overview of 305-912 Revision B. Steve gave a brief overview of what had transpired and the kinds of changes that had been included in Revision B. He also reported that the Technical subcommittee approved the changes and the Executive Board had received the revised document in two forms – one redline – to show the changes, and in a clean version for consideration of approval.

The Board members received the Revision B documents on 10-13-15, and it was anticipated that the Board would take up consideration of approval today (10-27-15)

9.

Consideration of approval of PRIIA Specification 305-912 Revision B – Eric Curtit:

With no comments or questions forthcoming, Chairman Curtit called for a motion to approve PRIIA Specification 305-912 Revision B, as submitted, and as previously approved, by the Technical subcommittee

A motion to approve was offered by Ray Hessinger, NYSDOT, and seconded by Michael Lestingi, FRA.

With no further discussion, Chairman Curtit asked Steve Hewitt to call the roll:

Eric Curtit, Missouri DOT – aye
Eric Curtit as proxy for John Oimoen, IDOT - aye
Dale Engelhardt for Mario Bergeron, Amtrak – aye
Ray Hessinger, NYSDOT – aye
Darrell Smith, Amtrak – aye
Michael Lestingi, FRA – aye
Paul Worley, NCDOT – aye
Jason Biggs for Ron Pate, Washington State DOT – aye
Bruce Roberts, Caltrans – aye
Michael Jenkins, Oregon DOT – aye
Brian Beeler, NNEPRA, for Maine DOT - aye

With a quorum having been established and all members present voting in the affirmative, Chairman Curtit determined that consensus had been achieved and the motion was approved.

10.

Status Update: 514 subcommittee – Ron Pate:

a. Review/overview of the DRAFT 2016 CIP – Jeremy Jewkes for Ron Pate:

On behalf of Ron Pate, Jeremy Jewkes provided an overview of the annual update to the Amtrak CIP, which has been presented to the NGECE Executive Board for its consideration of acceptance.

The plan is similar to a state STIP or CIP – and is a 5 year plan 2016 -2020.

Jeremy briefly highlighted progress made over the past year. This progress is described in the DRAFT memo to the NGECE Board and is reprinted below:

Progress over the past year includes:

Coordination with the newly created State Amtrak Intercity Passenger Rail Committee (SAIPRC) - As the PRIIA 209 policy body, the SAIPRC informs work in the 514 subcommittee. Changes to policy effecting capital use charge will be reflected in future editions of the CIP.

Definitions – Key definitions used throughout the plan are identified near the beginning.

Updated Process – An updated process for Minor Updates that accommodate more interaction between Amtrak and states.

Updated Five Year Forecasts – Forecasts of units used and equipment capital overhaul schedules have been updated based on information gathered through the process.

Quantified Equipment Released from State Service – The CIP identifies Amtrak equipment planned to be displaced from state corridor service with new state-owned equipment by units used and the pro rata share of equipment overhaul capital. A new corridor, titled Released for Redistribution/Underdetermined, quantifies this impact by the units used and pro rata share equipment capital for each plan year.

SQGR Plan – The CIP includes Amtrak’s plan to bring all non-State of Good Repair equipment into a SQGR.

Budget Contingencies – Based on year-of-plan, baseline suggestions for contingency levels for budget planning purposes are new to the CIP.

In concluding his overview, Jeremy read into the record the action requested of the Executive Board which will be the motion to be offered and considered by the Board.

"The Next Generation Equipment Committee (NGEC) accepts the Capital Investment Plan (CIP) prepared by Amtrak, in cooperation with the 514 Subcommittee, as a best practices document meeting the intent of the Section 209 policy and the approved charge statement, and concurs with the 5-year investment plan contained therein. The board also recognizes that the CIP is a living document and there will be annual updates and continued efforts required to complete the next steps included in the document."

Darrell Smith, asked if the intent is to consider acceptance today or on the next call. Jeremy responded that the intent was for approval today – as the next item on the agenda. Steve Hewitt confirmed that the Board members had received the memorandum and the CIP document two weeks ago (10-13-15) for their review, with the intent being to consider its acceptance today.

Eric Curtit expressed his thanks to WSDOT staff and the full 514 subcommittee for its efforts.

Bruce Roberts, Caltrans, asked if there is the ability in the plan to look back over time for reconciliation before redoing it in two years.

Darrell Smith confirmed that the CIP has a section in it for reconciliation and what the process will be.

Ray Hessinger, NYSDOT asked if the language in the proposed motion is the same as last year’s.

Jeremy Jewkes responded that it is the same.

Beth Nachreiner, FRA, asked if the CIP was a consensus document within 514.

Jeremy Jewkes responded that it was. After discussion, the subcommittee Chair called for a motion and a second and more discussion followed, and subsequently, under a quorum consensus was achieved.

11.

Consideration of NGEC Executive Board acceptance of the 2016 CIP – Eric Curtit:

After a review of the Memorandum and the 2016 DRAFT CIP as previously approved and submitted by the 514 subcommittee, Chairman Curtit called for the motion to accept, as described above and reprinted below:

"The Next Generation Equipment Committee (NGEC) accepts the Capital Investment Plan (CIP) prepared by Amtrak, in cooperation with the 514 Subcommittee, as a best practices document meeting the intent of the Section 209 policy and the approved charge statement, and concurs with the 5-year investment plan contained therein. The board also recognizes that the CIP is a living document and there will be annual updates and continued efforts required to complete the next steps included in the document."

The motion to accept was offered by Mike Jenkins, Oregon DOT, and seconded by, Bruce Roberts, Caltrans.

With no further discussion, Chairman Curtit asked Steve Hewitt to call the roll:

Eric Curtit, Missouri DOT – aye
Eric Curtit as proxy for John Oimoen, IDOT - aye
Dale Engelhardt for Mario Bergeron, Amtrak – aye
Ray Hessinger, NYSDOT – aye
Darrell Smith, Amtrak – aye
Michael Lestingi, FRA – aye
Paul Worley, NCDOT – aye
Jason Biggs for Ron Pate, Washington State DOT – aye
Tim Hoeffner, Michigan DOT – aye
Bruce Roberts, Caltrans – aye

Michael Jenkins, Oregon DOT – aye
Brian Beeler, NNEPRA, for Maine DOT - aye

With a quorum having been established and all members present voting in the affirmative, Chairman Curtit determined that consensus had been achieved and the motion was approved.

**12.
Other issues:**

Steve Hewitt commented that the 2016 NGEC Annual Meeting is scheduled for February 19th in Washington, DC at the Hyatt Regency, Capitol Hill. It is being held, as usual, in conjunction with the AASHTO SCORT DC meeting (February 17-18.) Details to follow. Steve Hewitt will send a notice to all NGEC members' calendars to lock in the date.

Bruce Robert asked that the NGEC be kept informed of the progress of the legislation extending the deadline for PTC implementation making its way through Congress.

Mike Jenkins commented that he believes the current language in the proposed legislation remains problematic.

With no further issues forthcoming, Chairman Curtit adjourned today's meeting at approximately 12:00 PM Eastern.

The next call is November 10, 2015

Decisions/Action Items

Mid-West States – Section 6 progress report: The Mid-West State DOTs met last week (10-21-15) with their legal representatives in attendance as well, to go over agreements for the distribution of equipment (cars and locomotives) throughout the Mid-West. At the meeting they began to lay out the terms and conditions that will ultimately lead to a fleet maintainer and fleet manager.

514 Subcommittee update: Two items were highlighted:

Accepting the CIP document: The Board received the DRAFT CIP on 10-13-15 and, today, (10-27-15) formally accepted the 2016 CIP, as presented, and previously approved by the 514 subcommittee.

Darrell Smith, Amtrak will add the approval date (10-27-15) to the CIP document and send it to Steve Hewitt for posting to the website.

Jeremy Jewkes will remove the DRAFT watermark from the memorandum, and send it to Steve Hewitt as well for posting to the website.

The Finance and Administrative subcommittee continues to look at potential funding options and strategies for the future of the NGEC: (ongoing) The subcommittee continues its progress and expects to meet its deadline of having a recommendation package ready for NGEC Executive Board by the end of October. The subcommittee will meet tomorrow (10-28-15) to review its draft report and recommendations for submittal to the Executive Board.

Dual Mode Locomotive (DC 3rd Rail) specification Approval: RCC, Tammy Krause reported today that the review of the specification is ongoing and the target date for Board approval remains mid-November 2015 (before Thanksgiving).

Technical subcommittee approval of 305-912 Revision B: The subcommittee approved Revision B on 9-24-15. 305-912 Revision B was distributed to the NGEC Executive Board on 10-13-15, having previously been approved by the Technical subcommittee.

On today's call (10-27-15) the Executive Board approved 305-912 Revision B as presented.

Tammy Krause, Amtrak, will put the document in final form with the release date included and send to Steve Hewitt.

NGEC Annual Meeting – 2016: The NGEC's 2016 Annual Meeting will take place on 2-19-16 at the Hyatt Regency Washington Capitol Hill. Steve Hewitt will send an appointment notice to all NGEC members to hold the date. The

meeting will be held in conjunction with the AASHTO SCORT Washington DC meeting taking place on 2-17-18-2016. Details to follow.

ATTACHMENTS



Conference Call

S305 NGEC Executive Board

October 27, 2015
11:30 AM – 12:30 PM Eastern
Call in number: 888 585-9008 conference access: 559-120-127

Agenda

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| 1. Welcome and Open the Meeting | Eric Curtit |
| 2. Roll Call | Steve Hewitt |
| 3. Action Items Status Review | Steve Hewitt |
| 4. Approval of Minutes from the October 13, 2015 Call | Eric Curtit |
| 5. Progress Report: Mid-West States – Section 6 | Eric Curtit for Tim Hoeffner |
| 6. Status Update: Bi-Level Car Procurement | Bruce Roberts |
| 7. Status Update: Diesel-Electric Locomotive Procurement | Steve Hewitt for John Oimoen |
| 8. Document Control Update | Tammy Krause |
| a. Status: Dual Mode Locomotive (DC 3 rd Rail) specification Review | Tammy Krause |
| b. Overview/review of PRIIA Specification 305-912 Revision B | Steve Hewitt |
| 9. Consideration of approval of PRIIA Specification 305-912 Revision B | Eric Curtit |
| 10. Status Update: 514 subcommittee | Jeremy Jewkes for Ron Pate |
| a. Review/overview of the DRAFT 2016 CIP | Jeremy Jewkes |
| 11. Consideration of NGEC Board acceptance of the 2016 CIP | Eric Curtit |
| 12. Other | All |

Next Call: November 10, 2015
