

SECTION 305 NGENC Executive Board

MINUTES JANUARY 6, 2015 11:30 EASTERN CONFERENCE CALL

FACILITATOR	<i>Eric Curtit, Chair, S305 NGENC Executive Board</i>
ATTENDEES	Board Members: <i>Eric Curtit, Eric Curtit also as proxy for Stan Hunter, Ray Hessinger, Darrell Smith, Mario Bergeron, John Tunna for Paul Nissenbaum, Tammy Nicholson, Ron Pate, Arun Rao, John Oimoen, Paul Worley, John Rosacker, Brian Beeler II</i> Support Staff: <i>Steve Hewitt, Larry Salci, Nico Lindenau, Michael Lestingi, Vincent Brotski, Patrick Centolanzi, Dave Warner, Jack Madden, Dale Engelhardt, Tammy Krause, Sal DeAngelo</i>
ABSENTEES	Board Members, <i>Paul Nissenbaum, Stan Hunter, Tim Hoeffner, Michael Jenkins, Arun Rao</i> Support: <i>Shayne Gill, Strat Cavros, Ashok Sundararajan, Allan Paul, Jason Biggs, Martin Schroeder, Nikki Rudnick, Jeff Gordon,</i>

DECISIONS MADE

1. Welcome – Eric Curtit, Chair, S305 NGENC Executive Board:

Chairman Curtit opened today’s meeting and asked Steve Hewitt to take the roll.

2. Roll Call –Steve Hewitt, Manager, S305 NGENC Support Services:

Steve Hewitt called the roll and confirmed the presence of a quorum.

3. Action Items Status – Steve Hewitt:

Mid-west States – Section 6 progress report: This remains as an ongoing agenda item. An RFP for a Fleet Manager is being finalized, and an RFI for a Fleet Maintainer has recently been released.

Agenda item 5

514 Subcommittee update: Agenda item 8

NGEC Procurement updates: The 2 procurements – bi-level cars and the diesel-electric locomotives-will continue to be standing agenda items on the Executive Board calls.

Agenda items 6 and 7

Host Railroad Blessing of NGENC equipment: Criteria for new equipment has been developed by the locomotive committee. The committee will brief Jim Grady, AAR on the criteria. Following this – a subgroup will be formed to work with the NGENC after the beginning of the new year. (ongoing)

NGEC Annual Meeting – 2015: The meeting will take place in Washington, DC on February 20, 2015. Logistical information has been provided as has a DRAFT agenda.

Comments/changes to the agenda have been requested to be submitted to Eric Curtit and Steve Hewitt. Thus far – only FRA has commented on the draft – asking for additional time to be devoted to the NGENC future discussion. On the revised DRAFT – additional time has been added. Steve Hewitt will walk through the latest DRAFT under agenda item 12.

Dual Mode Locomotive (DC 3rd Rail) Revised Requirements Document: Members are asked to review the revised Dual Mode Locomotive (DC 3rd Rail) requirements document and supporting material, and to submit comments to Ray Hessinger and Jack Madden (CC Steve Hewitt) by COB on January 2, 2015. This will be an agenda item for January 6, 2015 for discussion and possible Board consideration.

As of today – January 6, 2015 - no comments have been submitted.

Agenda items 9 and 10.

Patrick Centolanzi will provide Jack Madden and Ray Hessinger with information on Tri-mode locomotives.

Information was sent to Jack Madden by Patrick Centolanzi following the December 23 conference call.

NGEC Future: The FASC has several assignments which are to be the first steps taken towards establishing the NGEC future – short term and long term. Darrell Smith provided an update on the activities of the Finance subcommittee.

On behalf of the Finance and Administrative subcommittee, Darrell Smith has begun to develop a revised Statement of Work and budget for a no-cost extension of the current grant agreement through September 30, 2017.

A draft SOW was presented on December 17, 2014 – comments are due to Darrell Smith (cc Steve Hewitt) by January 7th – a discussion will take place on the next FASC call – January 14, 2015.

The FASC by-law working group has proposed revisions to update the by-laws.

These were presented on December 17th to FASC members. Comments are requested to be submitted to Ray Hessinger (CC Steve Hewitt) A specific review of Part C has been requested of Technical subcommittee chair Mario Bergeron to see if updates are needed. Input has been requested by January 7th. The FASC will take up the proposed changes on the January 14th call.

The Finance and Administrative subcommittee will begin developing a proposal for potential funding options to present to the Board for its consideration by October, 2015.

The FASC will begin discussions on this activity on January 14th. Members of the FASC were asked to bring ideas to the table for discussion.

Determining the NGEC Future: This topic will remain as a standing agenda item on all future Executive Board calls – currently as a focus of the FASC. The FRA has asked that discussions with regard to developing funding options be a priority and emphasized the need to have time for implementation of whatever options are selected before the anticipated grant agreement extension ends (9-30-17).

Under agenda item 11 – Darrell Smith will provide an update of the ongoing efforts being undertaken by the Finance and Administrative Subcommittee in regard to the NGEC future.

Letter to the FRA: the NGEC will formally ask the FRA for a FY2016 budget request for funding for the development and maintenance of specification standards. Eric Curtit and Steve Hewitt will draft a proposed letter, for Board review, to be submitted to the FRA. This is not meant to be the funding options solution for sustaining the NGEC in the long term, but is seen as one potential future resource which would mirror funding provided to some of the other transportation modal administrations.

Eric and Steve have drafted a proposed letter and have sent it to Shayne Gill for his input. Following his comments/edits it will be sent to all Board members for review.

4. Approval of the Minutes from December 23, 2014 – Eric Curtit:

On a motion by Darrell Smith, Amtrak, and a second by John Oimoen, IDOT, the minutes from the December 23, 2014 Executive Board conference call meeting were approved without exception.

5. Progress Report – Mid-West States – Section 6 – John Oimoen, IDOT:

John Oimoen, IDOT, reported that the Mid-West States are meeting on a monthly basis with subcommittees meeting “in between” on action items. Decisions are made during the monthly meetings.

The top item currently is "ownership" – a matrix is being created.

Sal DeAngelo, FRA, has been leading the effort on the issue of Governance, and his help is greatly appreciated.

The RFI for a fleet maintainer has been issued by Michigan and is "on the street".

IDOT is working on the RFP for a Fleet manager and hopes to have it ready for release "early next week".

6.

Status Update: Diesel-Electric Locomotive Procurement – John Oimoen:

Milestone 8 has been closed – Invoices have been issued to the JPEs.

Follow ups on the Intermediate Design Review items continues.

A mock up at the Siemens Reality Lab in Munich, Germany took place a few weeks ago. State reps were able to sit in and experience what the new locomotives will be like. – The mock up was very well received – and the visit to the lab was a great experience p and was very productive.

Siemens design engineering remains a few months ahead of schedule.

The Mid-West states are working on finalizing a schedule to exercise options for additional locomotives.

Final Design Review schedule for CDLR:

First section: Week of February 9, 2015

Second section: Week of March 3, 2015

Third section: Week of March 16, 2015

7.

Status Update: Bi-Level Car Procurement – John Oimoen:

John Oimoen, IDOT, reported that due to a number of challenges and delays, options for additional bi-level cars will not be able to be executed by IDOT or the Mid-West states.

When asked if this applies to California as well – John deferred to Larry Salci who noted that "it is California's decision to make...they are using FY2010 money" and are not bound by the same requirements as those states using ARRA funds. In the end, exercising its option assignments will be determined by Caltrans.

8.

Status Update: 514 Subcommittee – Ron Pate:

Ron Pate, Washington State DOT, reported that the 514 Subcommittee continues to make "good progress".

He reported that "cost control" is the current major outstanding issue.

Ron has been talking with Darrell Smith, Amtrak, about issues related to cost control and the reconciliation process. Ron noted that the challenge is if some states want something different than most of the other states than the question becomes "what does it look like and how does it work in the reconciliation process?" He noted that using caps versus "actuals" "needs" to be buttoned down...and we all can't have it all"...more to come...

9.

Discussion: Dual Mode Locomotive (DC 3rd Rail) Revised Requirements Document – Ray Hessinger/Jack Madden:

Ray Hessinger reported that no questions or comments had been received on the proposed revised Dual Mode Requirements Document.

He did acknowledge that discussions following the last call, with regard to the existence of a Tri-Mode locomotive have been resolved – and "it does not exist" thus "we are back to where we were with the Requirements Document as it stands" with changes primarily revolving "around top speed".

Mario Bergeron, Amtrak, noted that the Technical subcommittee had reviewed the revisions carefully and had input from FRA and "phenomenal research in support of the changes" by Jack Madden and others.

10.

Consideration of Approval – Dual Mode Locomotive (DC 3rd Rail) Revised Requirements Document – Eric Curtit:

With no further discussion forthcoming, Chairman Curtit entertained a motion to adopt the Dual Mode Locomotive (DC 3rd Rail) Requirements Document Revision A as presented.

A motion to adopt was offered by Ray Hessinger, NYSDOT, and was seconded by John Oimoen, IDOT.

Eric Curtit asked Steve Hewitt to call the roll of voting members:

Eric Curtit, Missouri DOT – aye
Eric Curtit as proxy for Stan Hunter, Caltrans – aye
Mario Bergeron, Amtrak – aye
Ray Hessinger, NYSDOT – aye
Darrell Smith, Amtrak – aye
John Tunna for Paul Nissenbaum, FRA – aye
Tammy Nicholson, Iowa DOT – aye
John Oimoen, IDOT – aye
Paul Worley, NCDOT – aye
John Rosacker, Oklahoma DOT – aye
Ron Pate, Washington State DOT – aye
Brian Beeler, Maine DOT – aye

With a quorum having been established, and all votes cast in favor of the motion to adopt, Chairman Curtit determined that consensus had been achieved and the motion was approved.

11.

Status Update and Discussion: Planning for the NGEN Future – Darrell Smith/Eric Curtit:

Darrell Smith, chair of the Finance and Administrative Subcommittee (FASC) reported that the items assigned to the Subcommittee, by the Board, on October 24, 2014, as first steps towards establishing the NGEN future, are progressing well.

The status of those items is:

Development of a Statement of Work and budget for a no-cost extension of the current grant agreement through September 30, 2017:

A draft SOW was presented on December 17, 2014 – comments are due to Darrell Smith (cc Steve Hewitt) by January 7th – a discussion will take place on the next FASC call – January 14, 2015.

The FASC by-law working group has proposed revisions to update the by-laws:

These were presented on December 17th to FASC members. Comments are requested to be submitted to Ray Hessinger (CC Steve Hewitt) A specific review of Part C has been requested of Technical subcommittee chair Mario Bergeron to see if updates are needed. Input has been requested by January 7th. The FASC will take up the proposed changes on the January 14th call.

The Finance and Administrative subcommittee will begin developing a proposal for potential funding options to present to the Board for its consideration by October, 2015:

The FASC will begin discussions on this activity on January 14th. Members of the FASC have been asked to bring ideas to the table for discussion.

Darrell and Eric Curtit encourage participation on the FASC calls by any and all members of the Board and support staff.

12.

2015 NGEN Annual Meeting – Agenda Review and logistics overview – Steve Hewitt:

Steve Hewitt provided a brief overview of the DRAFT agenda (version 2 – attached) and walked through the logistics (below):

Thus far only 6 people have registered with the hotel for the NGEN Annual Meeting:

Steve Hewitt; Eric Curtit; Jack Madden; Tammy Nicholson; Arun Rao; and Paul Worley

HOTEL INFORMATION:

A block of rooms have been set aside at the
Hyatt Regency Washington on Capitol Hill
for the
SCORT Washington meeting & NGEN Technical Annual meeting.

HYATT REGENCY WASHINGTON ON CAPITOL HILL
400 New Jersey Avenue, NW, Washington, DC 20001, USA
Tel: +1 202 737 1234

Registrants must make their own room reservations
Following is the link to the hotel reservations website: <https://resweb.passkey.com/go/AASHTOSCORTFEB2015>

Room rate: \$177.00 (single/double occupancy)

Room reservation cut-off date: **Tuesday, January 20th 2015**
Reservations received after this date will be on a space and rate available basis only.

State and local taxes: 14.5% (or prevailing at time of meeting)

Check-in Time: 3:00 PM
Check-out Time: 12 Noon

Adjourn:

With no other business forthcoming today, Chairman Curtit adjourned the call at approximately 12:35PM Eastern.

The next call is: January 20, 2015 at 11:30AM Eastern

Decisions/Action Items

Mid-west States – Section 6 progress report: This remains as an ongoing agenda item. An RFP for a Fleet Manager is being finalized by IDOT, and an RFI for a Fleet Maintainer has recently been released.

514 Subcommittee update: Cost Control is currently the major outstanding issue and continues to be a focus point.

NGEC Procurement updates: The 2 procurements – bi-level cars and the diesel-electric locomotives-will continue to be standing agenda items on the Executive Board calls.

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The Finance and Administrative subcommittee will begin developing a proposal for potential funding options to present to the Board for its consideration by October, 2015:

The FASC will begin discussions on this activity on January 14th. Members of the FASC have been asked to bring ideas to the table for discussion.

Approval of the revised Dual Mode Locomotive (DC 3rd Rail) Requirements Document: The Dual Mode Locomotive (DC 3rd Rail) Requirements Document Revision A was adopted on today's call. Steve Hewitt will provide AASHTO with the final approved version and request that it be posted to the web site.

ATTACHMENTS



S305 NGEC Executive Board Conference Call
January 6, 2015
11:30 AM – 12:30 PM Eastern
Call in number: 866 299 7945 passcode: 1601544#

Agenda

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| 1. Welcome and Open the Meeting | Eric Curtit |
| 2. Roll Call | Steve Hewitt |
| 3. Action Items Status Review | Steve Hewitt |
| 4. Approval of Minutes from the December 23, 2014 call | Eric Curtit |
| 5. Progress Report: Mid-West States – Section 6 | Tim Hoeffner |
| 6. Status Update: Diesel-Electric Locomotive procurement | John Oimoen |
| 7. Status Update: Bi-Level Car procurement | Stan Hunter |
| 8. Status Update: 514 subcommittee | Ron Pate |
| 9. Discussion: Dual Mode Locomotive (DC 3 rd Rail) Req. Document Proposed Revisions | Ray Hessinger/Jack Madden |
| 10. Consideration of approval or next steps – DM Loco (DC 3 rd Rail) Revised Req Document | Eric Curtit |
| 11. Status Update and Discussion: Planning for the NGEC Future | Darrell Smith/Eric Curtit |
| 12. NGEC 2015 Annual Meeting – Agenda and logistics overview | Steve Hewitt |
| 13. Other | All |

Next Call: January 20, 2015

Next Generation
Equipment Committee

NGEC



The NGEC will provide national leadership in standardization,
acquisition, financing and management of passenger rail equipment.

**PRIIA Section 305 Next Generation Corridor Equipment Pool Committee (NGEC)
5th Annual Meeting**

DRAFT Agenda V 2

February 20, 2015

8:30am – 4:00 pm EST

**Hyatt Regency Hotel on Capitol Hill
Washington, DC**

8:00 - 8:30 am	Registration
8:25 – 8:30 am	Hotel Safety Briefing – Hyatt Regency Hotel Representative
8:30 – 8:40 am	Welcome and Introductions-Convene Annual Meeting – Eric Curtit, Chair, NGEC Executive Board
8:40 – 8:45 am	Roll call of NGEC members (Executive Board & non-Board member states) – Steve Hewitt, Manager, NGEC Support Services
8:45 – 8:50 am	Approval of the Minutes from the February 17, 2015 Executive Board conference call
8:50 – 9:05 am	NGEC Chairman’s Report – Eric Curtit, NGEC Chair
9:05 – 9:45 am	NGEC Treasurer’s Report/ Finance and Administration Subcommittee report – Darrell Smith, NGEC Treasurer
9:45 – 9:55 am	Consideration of Approval: Proposed Updates to NGEC By-Laws – Eric Curtit
9:55 – 10:05 am	Consideration of Approval: Proposed SOW and budget for a no-cost Grant Agreement extension through 9-30-17 – Eric Curtit
10:05 – 10:25 am	Break
10:25 – 10:40 am	Update from FRA – Paul Nissenbaum, FRA
10:40 – 10:55 am	Update from Amtrak Government Affairs – TBD
10:55 – 11:15 am	Report: Technical Subcommittee – Mario Bergeron, Chair
11:15 – 11:30 am	Report: Technical Subcommittee’s Accessibility Working Group – Melissa Shurland, FRA
11:30 – 11:40 am	Progress Report: Section 6 – Mid-West States – Tim Hoeffner, Michigan DOT
11:40– Noon	Progress Report: the 514 Subcommittee – Ron Pate, Chair

Noon – 1:15 pm	Lunch (on your own)
1:15	Re-convene the meeting – Eric Curtit
1:15 – 1:45 pm	Status Update: NGEC Procurements <ul style="list-style-type: none">a. Diesel-electric Locomotive: John Oimoen, IDOT, and Siemens Representativeb. Bi-level cars – Stan Hunter, Caltrans & Nippon Sharyo/Sumitomo Representative
1:45 – 2:45 pm	Discussion: Long term Future of the NGEC – structure/funding/next steps– Eric Curtit
2:45 – 3:00 pm	Break
3:00 – 3:30 pm	Questions/Comments – All Attendees
3:30 – 3:45 pm	Other Issues - All
3:45 – 3:50 pm	Meeting Summary/Action Items Review – Steve Hewitt
3:50 – 4:00 pm	Next Steps/Closing Comments – Eric Curtit
4:00 pm	Adjourn